

42-SNJ  
**STATEMENT OF FINANCIAL INTERESTS**  
PLEASE PRINT NEATLY

01 LAST NAME FIRST NAME MI SUFFIX

YAW E EUGENE

02 ADDRESS office (business or governmental) or home City State Zip Code Area Code Phone

835 West Fourth St. Williamsport PA 17701 570 326 5131

NOTE: IF YOU ARE INCLUDING ATTACHMENTS, DO NOT INCLUDE ANYTHING THAT BEARS YOUR SOCIAL SECURITY NUMBER OR FINANCIAL ACCOUNT NUMBERS.

03 STATUS Check applicable block or blocks, more than one block may be marked. (See instructions on page 2)

A  Candidate (including write-in) C  Public Official (Current) D  Public Employee (Current) E  Check this block if you are filing as a solicitor  Check this block if you are amending an original filing

B  Nominee C  Public Official (Former) D  Public Employee (Former)

04 PUBLIC POSITION OR PUBLIC OFFICE (administrator, member, Commissioner, job title, etc.)  seeking  hold  held

A STATE SENATOR  seeking  hold  held

B SOLICITOR  seeking  hold  held

05 GOVERNMENTAL ENTITY in which you are/were an Official, Employee, Candidate or Nominee (e.g., dept, agency, authority, borough, board, commission, county, school district, twp, etc.)

A STATE OF PA

B LOYALSOCK TWP SCHOOL DISTRICT

06 OCCUPATION OR PROFESSION (This may be the same as block 4)

Senator / Attorney

07 YEAR SEE INSTRUCTIONS.  
Information in Blocks 8 - 15 represents disclosure for the calendar year listed here: 2017

08 REAL ESTATE INTERESTS (See instructions on page 2) If NONE, check this box.

8789 Walks Run Road, Trout Run, PA 17771

09 CREDITORS (See instructions on page 2). Creditor (Name and Address) If NONE, check this box.

Name: Capital One, PO Box 71085 Address: Charlotte, NC 28272 Interest Rate: 24

See attached

10 DIRECT OR INDIRECT SOURCES OF INCOME including (but not limited to) all employment. (See instructions on pg. 2) ONLY IF NONE, check this block.

Senate of PA, Main Capitol Harrisburg, PA 17120

McCormick Law Firm 835 W. Fourth St, Williamsport, PA

(OFFICIAL USE ONLY)

11 GIFTS (See instructions on page 2) If NONE, check this box.

Source of Gift: \_\_\_\_\_ Value of Gift: \_\_\_\_\_

Address of Source of Gift: \_\_\_\_\_ Circumstances (including description) of Gift: \_\_\_\_\_

12 TRANSPORTATION, LODGING, HOSPITALITY (See instructions on page 2) If NONE, check this box.

Source (Name and Address): \_\_\_\_\_ Value: \_\_\_\_\_

13 OFFICE, DIRECTORSHIP, OR EMPLOYMENT IN ANY BUSINESS (See instructions on page 2) If NONE, check this box.

Business Entity (Name and Address): See attached Position Held (i.e., officer, director, employee, etc.): \_\_\_\_\_

Name: \_\_\_\_\_ Address: \_\_\_\_\_

14 FINANCIAL INTEREST IN ANY LEGAL ENTITY IN BUSINESS FOR PROFIT (See instructions on page 2) If NONE, check this box.

Name and Address of Business: \_\_\_\_\_ Interest Held (i.e., 5%, 10%, etc.): \_\_\_\_\_

15 BUSINESS INTERESTS TRANSFERRED TO IMMEDIATE FAMILY MEMBER (See instructions on page 2) If NONE, check this box.

Business (Name and Address): \_\_\_\_\_ Transferee (Name and Address): \_\_\_\_\_

Interest Held Relationship Date Transferred: \_\_\_\_\_

The undersigned hereby affirms that the foregoing information is true and correct to the best of said person's knowledge, information and belief; said affirmation being made subject to the penalties prescribed by 18 Pa.C.S. §4904 (unsworn falsification to authorities) and the Public Official and Employee Ethics Act, 65 Pa.C.S. §1109(b).

Signature E. Eugene Yaw Enter Current Date 3/6/18

THIS FORM IS CONSIDERED DEFICIENT IF ANY BLOCK ABOVE IS NOT COMPLETED. MAKE A COPY FOR YOUR RECORDS.

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Statement of Financial Interests  
Supplement 2017

E. Eugene Yaw

#9

|                            |     |
|----------------------------|-----|
| American Express           | 24% |
| AA Advantage MasterCard    | 24% |
| Capital One MasterCard     | 24% |
| Capital One Venture Visa   | 24% |
| Brooks Brothers MasterCard | 24% |
| CITI MasterCard            | 24% |

#10 Bendigo Lodge, 10543 Wallis Run Road, Trout Run, Pa 17771

Pershing Advisor Solutions LLC, One Pershing Plaza, Jersey City, NJ 07399

(See attached)

Statoil, 2107 Citywest Blvd, Houston, TX 77042

Anadarko Petroleum Corp, P.O. Box 1330, Houston, TX 77251-1330

Alta Marcellus Development, LLC, 500 Dallas St, Houston, TX 77002

Mitsui E&P USA LLC, 1300 Post Oak Blvd, Houston, TX 77056

Chesapeake Operating, LLC, P.O. Box 18496, Oklahoma City, OK 73154

STATE ETHICS  
COMMISSION  
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#13 Lycoming Law Association Foundation, Inc. – Director/Treasurer, 23 West Third Street,  
Williamsport, Pa 17701

Pennsylvania College of Technology – Board of Directors, Chairman, One College Avenue,  
Williamsport, Pa 17701

Foundation for Wallis Run United Methodist Church – Trustee/Treasurer, 1916

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Statement of Financial Interests  
Supplement 2017

E. Eugene Yaw

#13 (Continued)

Mountview Ave, Montoursville, Pa 17754

Wallis Run United Methodist Church – Trustee, Wallis Run Road, Trout Run, Pa 17771

Center for Rural Pennsylvania – Board of Directors, Chairman, 625 Forster Street,  
Harrisburg, Pa 17120

Project Bald Eagle—Board of Directors, One College Ave, Williamsport, Pa 17701

Chesapeake Bay Commission—Board of Directors, 60 West Street, Annapolis, MD 21401

Consortium for Advanced Manufacturing Productivity (CAMP), board member, One  
College Ave, Williamsport, Pa 17701

Pennsylvania Energy Development Authority—board member, 400 Market St,  
Harrisburg, Pa 17101

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STATE ETHICS  
COMMISSION

# STATEMENT OF Financial Interest

## Supplement # 10

E. Eugene Yaw

## Yaw Individual

SymbolSec. DescriptionAddress

| <u>Symbol</u> | <u>Sec. Description</u>                              | <u>Address</u>                                              |
|---------------|------------------------------------------------------|-------------------------------------------------------------|
| AGG           | ISHARES TR CORE U S AGGREGATE BD ETF                 | iShares/BlackRock 400 Howard St. San Francisco, CA 94105    |
| APC           | ANADARKO PETE CORP COM                               | 1201 Lake Robbins Drive, THE WOODLANDS, TX 77380            |
| CAT           | CATERPILLAR INC COM                                  | 100 NE ADAMS ST, PEORIA, IL 61629                           |
| CMI           | CUMMINS INC                                          | 500 Jackson Street, COLUMBUS, IN 47201                      |
| FCNTX         | FIDELITY CONTRA FUND                                 | P.O. Box 770002 Cincinnati, OH 45277-0086                   |
| GOGIX         | JOHN HANCOCK INTERNATIONAL GROWTH                    | Mutual Fund Operations P.O. Box 55913 Boston, MA 02205-5913 |
| HAL           | HALLIBURTON CO COM                                   | 3000 North Sam Houston Parkway East, HOUSTON, TX 77032      |
| HF XIX        | CATALYST HEDGED FUTURES STRATEGY FUND CLASS I        | 36 New York Avenue Floor 2 Huntington, NY 11743             |
| IEF           | ISHARES TR BARCLAYS 7-10 YR TREAS BD ETF             | iShares/BlackRock 400 Howard St. San Francisco, CA 94105    |
| IJH           | ISHARES TR CORE S&P MID-CAP ETF                      | iShares/BlackRock 400 Howard St. San Francisco, CA 94105    |
| IJR           | ISHARES TR CORE S&P SMALL-CAP ETF                    | iShares/BlackRock 400 Howard St. San Francisco, CA 94105    |
| IVV           | ISHARES TR CORE S&P 500 ETF                          | iShares/BlackRock 400 Howard St. San Francisco, CA 94105    |
| LQD           | ISHARES TR IBOXX USD INVT GRADE CORP BD              | iShares/BlackRock 400 Howard St. San Francisco, CA 94105    |
| PFORX         | PIMCO FOREIGN BOND FUND (U.S. DOLLAR HEDGED) I CLASS | 1633 Broadway New York, NY 10019                            |
| VEA           | VANGUARD TAX-MANAGED INTL FD FTSE                    | Vanguard P.O. Box 1110 Valley Forge, PA 19482-1110          |
| VGSTX         | VANGUARD STAR FUND                                   | Vanguard P.O. Box 1110 Valley Forge, PA 19482-1110          |
| VNQ           | VANGUARD INDEX FDS REIT ETF                          | Vanguard P.O. Box 1110 Valley Forge, PA 19482-1110          |
| VNQI          | VANGUARD INTL EQUITY INDEX GLOBAL EX-US REAL ESTATE  | Vanguard P.O. Box 1110 Valley Forge, PA 19482-1110          |
| VWEAX         | VANGUARD HIGH YIELD CORP                             | Vanguard P.O. Box 1110 Valley Forge, PA 19482-1110          |
| VWO           | VANGUARD INTL EQUITY INDEX FDS FTSE                  | Vanguard P.O. Box 1110 Valley Forge, PA 19482-1110          |

## Yaw Joint/Pepperman Joint

SymbolSec. DescriptionAddress

| <u>Symbol</u> | <u>Sec. Description</u>                                                                                | <u>Address</u>                                                                                       |
|---------------|--------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|
| 550804AG3     | LYCOMING CNTY PA AUTH HEALTH SYS REV SUSQUEHANNA HLTH SYS PROJ<br>5.000% 07/01/19 B/E DTD 10/22/09 N/C | Susquehanna Health Systems - 700 High St., Williamsport, PA 17701                                    |
| FCNTX         | Fidelity Contra Fund                                                                                   | Fidelity Investments PO Box 770002 Cincinnati, OH 45277-0002                                         |
| GOGIX         | JOHN HANCOCK INTERNATIONAL GROWTH                                                                      | Mutual Fund Operations P.O. Box 55913 Boston, MA 02205-5913                                          |
| HBLYX         | THE HARTFORD BALANCED INCOME                                                                           | Hartford Funds P.O. Box 55022 Boston, MA 02205-5022                                                  |
| HF XIX        | CATALYST HEDGED FUTURES STRATEGY FUND CLASS I                                                          | 36 New York Avenue Floor 2 Huntington, NY 11743                                                      |
| HYMB          | SPDR Nuveen High Yield Muni                                                                            | State Street Global Advisors State Street Financial Center 1 Lincoln Street<br>Boston, MA 02111-2900 |
| IEF           | ISHARES TR BARCLAYS 7-10 YR TREAS BD ETF                                                               | iShares/BlackRock 400 Howard St. San Francisco, CA 94105                                             |
| IJH           | ISHARES TR CORE S&P MID-CAP ETF                                                                        | iShares/BlackRock 400 Howard St. San Francisco, CA 94105                                             |
| IJR           | ISHARES TR CORE S&P SMALL-CAP ETF                                                                      | iShares/BlackRock 400 Howard St. San Francisco, CA 94105                                             |
| IVV           | ISHARES TR CORE S&P 500 ETF                                                                            | iShares/BlackRock 400 Howard St. San Francisco, CA 94105                                             |
| VTEB          | VANGUARD MUN BD FDS TAX-EXEMPT                                                                         | P.O. Box 1101 Valley Forge, PA 19482-1101                                                            |
| PFORX         | PIMCO FOREIGN BOND FUND (U.S. DOLLAR HEDGED) I CLASS                                                   | 1633 Broadway New York, NY 10019                                                                     |
| VBIAX         | VANGUARD Balanced Index                                                                                | Vanguard P.O. Box 1110 Valley Forge, PA 19482-1110                                                   |
| VEA           | VANGUARD TAX-MANAGED INTL FD FTSE                                                                      | Vanguard P.O. Box 1110 Valley Forge, PA 19482-1110                                                   |
| VGSTX         | Vanguard Star                                                                                          | Vanguard P.O. Box 1110 Valley Forge, PA 19482-1110                                                   |
| VWO           | VANGUARD INTL EQUITY INDEX FDS FTSE                                                                    | Vanguard P.O. Box 1110 Valley Forge, PA 19482-1110                                                   |
| VNQ           | VANGUARD INDEX FDS REIT ETF                                                                            | Vanguard P.O. Box 1110 Valley Forge, PA 19482-1110                                                   |
| VNQI          | VANGUARD INTL EQUITY INDEX GLOBAL EX-US REAL ESTATE                                                    | Vanguard P.O. Box 1110 Valley Forge, PA 19482-1110                                                   |

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# Statement of Financial Interest

## Supplement # 10

E. Eugene Yaw

As of 12/31/17

### Pepperman Individual

| Symbol    | Sec. Description                                                                                       | Address                                                                                              |
|-----------|--------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|
| 550804AG3 | LYCOMING CNTY PA AUTH HEALTH SYS REV SUSQUEHANNA HLTH SYS PROJ<br>5.000% 07/01/19 B/E DTD 10/22/09 N/C | Susquehanna Health Systems - 700 High St., Williamsport, PA 17701                                    |
| AGG       | ISHARES TR CORE U S AGGREGATE BD ETF                                                                   | iShares/BlackRock 400 Howard St. San Francisco, CA 94105                                             |
| FCNTX     | FIDELITY CONTRA FUND                                                                                   | Fidelity Investments P.O. Box 770002 Cincinnati, OH 45277-0086                                       |
| GOGIX     | JOHN HANCOCK INTERNATIONAL GROWTH                                                                      | Mutual Fund Operations P.O. Box 55913 Boston, MA 02205-5913                                          |
| HBLIX     | THE HARTFORD BALANCED INCOME                                                                           | Hartford Funds P.O. Box 55022 Boston, MA 02205-5022                                                  |
| HFXIX     | CATALYST HEDGED FUTURES STRAGETY FUND CLASS I                                                          | 36 New York Avenue Floor 2 Huntington, NY 11743                                                      |
| HYMB      | SPDR SER TR NUVEEN S&P HIGH YIELD MUN                                                                  | State Street Global Advisors State Street Financial Center 1 Lincoln Street<br>Boston, MA 02111-2900 |
| IEF       | ISHARES TR BARCLAYS 7-10 YR TREAS BD ETF                                                               | iShares/BlackRock 400 Howard St. San Francisco, CA 94105                                             |
| IJH       | ISHARES TR CORE S&P MID-CAP ETF                                                                        | iShares/BlackRock 400 Howard St. San Francisco, CA 94105                                             |
| IJR       | ISHARES TR CORE S&P SMALL-CAP ETF                                                                      | iShares/BlackRock 400 Howard St. San Francisco, CA 94105                                             |
| IVV       | ISHARES TR CORE S&P 500 ETF                                                                            | iShares/BlackRock 400 Howard St. San Francisco, CA 94105                                             |
| LQD       | ISHARES TR IBOXX USD INVT GRADE CORP BD                                                                | iShares/BlackRock 400 Howard St. San Francisco, CA 94105                                             |
| VTEB      | VANGUARD MUN BD FDS TAX-EXEMPT                                                                         | P.O. Box 1101 Valley Forge, PA 19482-1101                                                            |
| PFORX     | PIMCO FOREIGN BOND FUND (U.S. DOLLAR HEDGED) INSTITUTIONAL CLASS                                       | 1633 Broadway New York, NY 10019                                                                     |
| VBIAX     | VANGUARD BALANCED INDEX FUND ADMIRAL SHARES                                                            | P.O. Box 1101 Valley Forge, PA 19482-1101                                                            |
| VEA       | VANGUARD TAX-MANAGED INTL FD FTSE DEVELOPED MKTS ETF                                                   | P.O. Box 1101 Valley Forge, PA 19482-1101                                                            |
| VGSTX     | VANGUARD STAR FUND                                                                                     | Vanguard P.O. Box 1110 Valley Forge, PA 19482-1110                                                   |
| VNQ       | VANGUARD INDEX FDS REIT ETF                                                                            | Vanguard P.O. Box 1110 Valley Forge, PA 19482-1110                                                   |
| VNQI      | VANGUARD INTL EQUITY INDEX GLOBAL EX-US REAL ESTATE                                                    | Vanguard P.O. Box 1110 Valley Forge, PA 19482-1110                                                   |
| VWEAX     | VANGUARD HIGH YIELD CORP                                                                               | Vanguard P.O. Box 1110 Valley Forge, PA 19482-1110                                                   |
| VWO       | VANGAURD INTL EQUITY INDEX FDS FTSE EMERGING MKTS ETF                                                  | P.O. Box 1101 Valley Forge, PA 19482-1101                                                            |

### Pepperman/Yaw Joint

| Symbol    | Sec. Description                                                                                       | Address                                                                                              |
|-----------|--------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|
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| FCNTX     | Fidelity Contra Fund                                                                                   | Fidelity Investments PO Box 770002 Cincinnati, OH 45277-0002                                         |
| GOGIX     | JOHN HANCOCK INTERNATIONAL GROWTH                                                                      | Mutual Fund Operations P.O. Box 55913 Boston, MA 02205-5913                                          |
| HBLIX     | THE HARTFORD BALANCED INCOME                                                                           | Hartford Funds P.O. Box 55022 Boston, MA 02205-5022                                                  |
| HFXIX     | CATALYST HEDGED FUTURES STRATEGY FUND CLASS I                                                          | 36 New York Avenue Floor 2 Huntington, NY 11743                                                      |
| HYMB      | SPDR Nuveen High Yield Muni                                                                            | State Street Global Advisors State Street Financial Center 1 Lincoln Street<br>Boston, MA 02111-2900 |
| IEF       | ISHARES TR BARCLAYS 7-10 YR TREAS BD ETF                                                               | iShares/BlackRock 400 Howard St. San Francisco, CA 94105                                             |
| IJH       | ISHARES TR CORE S&P MID-CAP ETF                                                                        | iShares/BlackRock 400 Howard St. San Francisco, CA 94105                                             |
| IJR       | ISHARES TR CORE S&P SMALL-CAP ETF                                                                      | iShares/BlackRock 400 Howard St. San Francisco, CA 94105                                             |
| IVV       | ISHARES TR CORE S&P 500 ETF                                                                            | iShares/BlackRock 400 Howard St. San Francisco, CA 94105                                             |
| VTEB      | VANGUARD MUN BD FDS TAX-EXEMPT                                                                         | P.O. Box 1101 Valley Forge, PA 19482-1101                                                            |
| PFORX     | PIMCO FOREIGN BOND FUND (U.S. DOLLAR HEDGED) I CLASS                                                   | 1633 Broadway New York, NY 10019                                                                     |
| VBIAX     | VANGUARD Balanced Index                                                                                | Vanguard P.O. Box 1110 Valley Forge, PA 19482-1110                                                   |
| VEA       | VANGUARD TAX-MANAGED INTL FD FTSE                                                                      | Vanguard P.O. Box 1110 Valley Forge, PA 19482-1110                                                   |
| VGSTX     | Vanguard Star                                                                                          | Vanguard P.O. Box 1110 Valley Forge, PA 19482-1110                                                   |
| VWO       | VANGUARD INTL EQUITY INDEX FDS FTSE                                                                    | Vanguard P.O. Box 1110 Valley Forge, PA 19482-1110                                                   |
| VNQ       | VANGUARD INDEX FDS REIT ETF                                                                            | Vanguard P.O. Box 1110 Valley Forge, PA 19482-1110                                                   |
| VNQI      | VANGUARD INTL EQUITY INDEX GLOBAL EX-US REAL ESTATE                                                    | Vanguard P.O. Box 1110 Valley Forge, PA 19482-1110                                                   |

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