

Annual Report for Calendar 2016

The Honorable Shelley M Capito (Capito, Shelley Moore)

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The following statements were checked before filing:

- I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- I omitted assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid
1	Spouse	Salary	Wells Fargo Advisors Charleston, WV	> \$1,000
2	Self	Retirement	Wells Fargo Clearing Services St. Louis, MO	\$4,932.96

Part 3. Assets

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	BBT - BB&T Corporation (NYSE)	Corporate Securities Stock	Self	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
2	CSCO - Cisco Systems, Inc. (NASDAQ)	Corporate Securities	Self	\$1,001 - \$15,000	Dividends,	None

	(NASDAQ) Asset	Securities Asset Type	Owner	\$ 10,000 Value	Income Type	(or less than \$201)
3	C - Citigroup Inc. (NYSE)	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
4	DUK - Duke Energy Corporation (NYSE)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
5	MBRG - Middleburg Financial Corporation (NASDAQ)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
6	SE - Spectra Energy Corp. (NYSE)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
7	TRV - The Travelers Companies, Inc. (NYSE)	Corporate Securities Stock	Self	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
8	VZ - Verizon Communications Inc. (NYSE)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
9	DIS - The Walt Disney Company (NYSE)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
10	Wells Fargo (Charleston, WV) Type: Brokerage Sweep Account,	Bank Deposit	Self	\$250,001 - \$500,000	Interest,	None (or less than \$201)
11	CSCO - Cisco Systems, Inc. (NASDAQ)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
12	BMJ - Bristol-Myers Squibb Company (NYSE)	Corporate Securities	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000

	<u>Asset</u>	<u>Stock Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
13	<u>C</u> - Citigroup Inc. (NYSE)	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
14	<u>KO</u> - The Coca-Cola Company (NYSE)	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
15	<u>XOM</u> - Exxon Mobil Corporation (NYSE)	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
16	<u>GE</u> - General Electric Company (NYSE)	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
17	<u>HBAN</u> - Huntington Bancshares Incorporated (NASDAQ)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
18	<u>INTC</u> - Intel Corporation (NASDAQ)	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
19	<u>IBM</u> - International Business Machines Corporation (NYSE)	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
20	<u>MRK</u> - Merck & Co. Inc. (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
21	<u>MSFT</u> - Microsoft Corporation (NASDAQ)	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
22	<u>NSC</u> - Norfolk Southern Corporation (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
23	<u>PG</u> - The Procter & Gamble Company	Corporate	Spouse	\$1,001 -	Dividends,	\$201 -

	<u>(NYSE)</u> <u>Asset</u>	<u>Securities</u> <u>Asset Type</u> <u>Stock</u>	<u>Owner</u>	<u>\$15,000</u> <u>Value</u>	<u>Income Type</u>	<u>\$1,000</u> <u>Income</u>
24	<u>RDS-A - Royal Dutch Shell plc</u> (NYSE)	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
25	<u>HBAN - Huntington Bancshares</u> Incorporated (NASDAQ)	Corporate Securities Stock	Joint	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
26	<u>Citigroup Capital Partners I</u> <i>Company: Citigroup Capital Partners I (New York, NY) Description: Fund of Funds</i>	Business Entity Limited Partnership (LP)	Spouse	\$1,001 - \$15,000	Dividends, Interest, Capital Gains, Excepted Investment Fund,	None (or less than \$201)
27	<u>Citigroup Capital Partners II</u> <i>Company: Citigroup Capital Partners II (New York, NY) Description: Fund of funds</i>	Business Entity Limited Partnership (LP)	Spouse	\$15,001 - \$50,000	Dividends, Interest, Capital Gains, Excepted Investment Fund,	\$2,501 - \$5,000
28	<u>Citigroup Employee Fund of Funds</u> <i>Company: Citigroup Employee Fund of Funds (New York, NY) Description: Fund of funds</i>	Business Entity Limited Partnership (LP)	Spouse	\$1,001 - \$15,000	Dividends, Rent/Royalties, Interest, Capital Gains, Excepted Investment Fund,	\$1,001 - \$2,500
29	<u>CVCIGP II</u> <i>Company: CVCIGP II U.S. Employees LP (New York, NY) Description: Fund of funds</i>	Business Entity Limited Partnership (LP)	Spouse	\$15,001 - \$50,000	Dividends, Interest, Capital Gains, Excepted Investment Fund,	\$1,001 - \$2,500
30	<u>Edgewood Country Club</u> <i>Company: Edgewood Country Club</i>	Corporate Securities	Spouse	\$1,001 - \$15,000	None,	None (or less

	Company, Registered Security Class (Charleston, WV) Description: Social Asset club	Securities Asset Type Stock	Owner	\$10,000 Value	Income Type	(or less than \$201)
31	Vista Resources <i>Description: Working interest in oil/gas wells (Charleston, WV)</i>	Real Estate Mineral Rights	Spouse	None (or less than \$1,001)	Rent/Royalties,	None (or less than \$201)
32	Lexington Property <i>Description: Rental House (Lexington, VA)</i>	Real Estate Residential	Joint	\$100,001 - \$250,000	Rent/Royalties,	\$5,001 - \$15,000
33	WV Public Employees Retirement System	Retirement Plans Defined Benefit Pension Plan	Self	\$1,001 - \$15,000	None,	None (or less than \$201)
34	Wells Fargo 401(k)	Retirement Plans Defined Contribution Pension Plan	Spouse			
34.1	WFTXX - Wells Fargo Advantage 100% Trea (NASDAQ)	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
34.2	Wells Fargo Non-ESOP Fund	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	Dividends,	None (or less than \$201)
35	Citigroup 401(k)	Retirement Plans Defined Contribution Pension Plan	Spouse			
35.1	TSTXX - BlackRock T-Fund	Mutual Funds	Spouse	\$250,001 -	None,	None (or less

<u>Asset</u>	<u>Mutual Fund Asset Type</u>	<u>Owner</u>	<u>\$500,000 Value</u>	<u>Income Type</u>	<u>than Income \$201)</u>
35.2 C - Citigroup Common Stock Fund	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	None,	None (or less than \$201)
35.3 Emerging Market Equity Fund	Mutual Funds Mutual Fund	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
35.4 State Street S&P 500 Fund	Mutual Funds Mutual Fund	Spouse	\$100,001 - \$250,000	None,	None (or less than \$201)
35.5 SSgA Russell 3000 Fund	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	None,	None (or less than \$201)
35.6 Large Cap US Equity Fund	Mutual Funds Mutual Fund	Spouse	None (or less than \$1,001)	None,	None (or less than \$201)
36 IRA	Retirement Plans IRA	Self			
36.1 SINAX - ClearBridge Large Cap Value A (NASDAQ)	Mutual Funds Mutual Fund	Self	\$50,001 - \$100,000	Dividends,	\$201 - \$1,000
36.2 MBRG - Middleburg Financial Corporation (NASDAQ)	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
37 Spouse IRA 1	Retirement Plans	Spouse			

	<u>Asset</u>	<u>IRA Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
37.1	<u>AMGN</u> - Amgen Inc. (NASDAQ)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
37.2	<u>AL</u> - Air Lease Corporation (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
37.3	<u>CHK</u> - Chesapeake Energy Corporation (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
37.4	<u>CB</u> - The Chubb Corporation (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
37.5	<u>CME</u> - CME Group Inc. (NASDAQ)	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
37.6	<u>KO</u> - The Coca-Cola Company (NYSE)	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
37.7	<u>CLB</u> - Core Laboratories NV (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
37.8	<u>DUK</u> - Duke Energy Corporation (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
37.9	<u>XOM</u> - Exxon Mobil Corporation (NYSE)	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
37.10	<u>INTC</u> - Intel Corporation (NASDAQ)	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000

	<u>Asset</u>	<u>Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
37.11	<u>JNJ</u> - Johnson & Johnson (NYSE)	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
37.12	<u>KRFT</u> - Kraft Foods Group, Inc. (NASDAQ)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
37.13	<u>LULU</u> - Lululemon Athletica Inc. (NASDAQ)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None (or less than \$201)
37.14	<u>MCD</u> - McDonald's Corp. (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
37.15	<u>MSFT</u> - Microsoft Corporation (NASDAQ)	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
37.16	<u>MDLZ</u> - Mondelez International, Inc. (NASDAQ)	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
37.17	<u>MS</u> - Morgan Stanley (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
37.18	<u>NSRGY</u> - Nestlé S.A. (OTC Markets)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
37.19	<u>PFE</u> - Pfizer Inc. (NYSE)	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
37.20	<u>RHHBY</u> - Roche Holding AG (OTC Markets)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
37.21	<u>SSE</u> - Seventy Seven Energy Inc.	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	None,	None

	(NYSE) Asset	Securities Asset Type	Owner	\$15,000 Value	Income Type	(or less than Income \$201)
37.22	UBSI - United Bankshares Inc. (NASDAQ)	Corporate Securities Stock	Spouse	\$50,001 - \$100,000	Dividends,	\$1,001 - \$2,500
37.23	UPS - United Parcel Service, Inc. (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
37.24	VGK - Vanguard FTSE Europe ETF (NYSEArca)	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	Dividends,	\$1,001 - \$2,500
37.25	Wells Fargo (Charleston, WV) Type: Brokerage Sweep Account,	Bank Deposit	Spouse	\$15,001 - \$50,000	Dividends,	None (or less than \$201)
37.26	IYE - iShares US Energy	Mutual Funds Exchange Traded Funds/Notes	Spouse	\$15,001 - \$50,000	Dividends,	\$201 - \$1,000
37.27	AAPL - Apple Inc.	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
37.28	BRK-B - Berkshire Hathaway Inc.	Corporate Securities Stock	Spouse	\$15,001 - \$50,000	None,	None (or less than \$201)
37.29	F - Ford Motor Company	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	\$201 - \$1,000
38	Spouse IRA 2	Retirement Plans IRA	Spouse			

IRA

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>Income</u>
38.1	Wells Fargo (Charleston, WV) Type: Brokerage Sweep Account,	Bank Deposit	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.2	<u>AFL</u> - AFLAC Inc. (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.3	<u>APD</u> - Air Products & Chemicals Inc. (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.4	<u>ADI</u> - Analog Devices, Inc. (NASDAQ)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.5	<u>T</u> - AT&T, Inc. (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.6	<u>ADP</u> - Automatic Data Processing, Inc. (NASDAQ)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.7	<u>BDX</u> - Becton, Dickinson and Company (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.8	<u>CVX</u> - Chevron Corporation (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.9	<u>CB</u> - The Chubb Corporation	Corporate	Spouse	\$1,001 -	Dividends,	None

(NYSE) Asset	Securities Asset Type Stock	Owner	\$15,000 Value	Income Type	(or less Income than \$201)
38.10 CLX - The Clorox Company (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.11 CL - Colgate-Palmolive Co. (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.12 COP - ConocoPhillips (NYSE)	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	None (or less than \$201)
38.13 EV - Eaton Vance Corp. (NYSE)	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	None (or less than \$201)
38.14 EMR - Emerson Electric Co. (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.15 XOM - Exxon Mobil Corporation (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.16 FDS - FactSet Research Systems Inc. (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
38.17 GIS - General Mills, Inc. (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>\$201</u> <u>Income</u>
38.18	<u>GD</u> - General Dynamics Corporation (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.19	<u>GWW</u> - W.W. Grainger, Inc. (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.20	<u>HRS</u> - Harris Corporation (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.21	<u>ITW</u> - Illinois Tool Works Inc. (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.22	<u>IBM</u> - International Business Machines Corporation (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.23	<u>SJM</u> - The J. M. Smucker Company (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.24	<u>JNJ</u> - Johnson & Johnson (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.25	<u>K</u> - Kellogg Company (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.26	<u>LOW</u> - Lowe's Companies Inc.	Corporate	Spouse	\$1,001 -	Dividends,	None

<u>(NYSE)</u> <u>Asset</u>	<u>Securities</u> <u>Asset Type</u> Stock	<u>Owner</u>	<u>\$15,000</u> <u>Value</u>	<u>Income Type</u>	<u>(or less</u> <u>than</u> <u>\$201)</u>
38.27 <u>MCD</u> - McDonald's Corp. (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.28 <u>MDT</u> - Medtronic plc (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends, Capital Gains,	None (or less than \$201)
38.29 <u>MSFT</u> - Microsoft Corporation (NASDAQ)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.30 <u>NEE</u> - NextEra Energy, Inc. (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.31 <u>JWN</u> - Nordstrom Inc. (NYSE)	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends, Capital Gains,	None (or less than \$201)
38.32 <u>NSC</u> - Norfolk Southern Corporation (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.33 <u>ES</u> - Eversource Energy (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.34 <u>NVS</u> - Novartis AG (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>\$201</u> <u>Income</u>
38.35	<u>PAYX</u> - Paychex, Inc. (NASDAQ)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.36	<u>PEP</u> - Pepsico, Inc. (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.37	<u>PSX</u> - Phillips 66 (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.38	<u>PII</u> - Polaris Industries Inc. (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.39	<u>PX</u> - Praxair Inc. (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.40	<u>PG</u> - The Procter & Gamble Company (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.41	<u>QCOM</u> - QUALCOMM Incorporated (NASDAQ)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.42	<u>SCG</u> - SCANA Corp. (NYSE)	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	None (or less than \$201)
38.43	<u>SVV</u> - Suncor Corporation (NYSE)	Corporate	Spouse	\$1,001	Dividends	None

38.43	STI - System Corporation (NYSE) Asset	Corporate Securities Stock	Spouse Owner	\$1,001 - \$15,000	Dividends, Income Type	None (or less than \$201)
38.44	TGT - Target Corp. (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.45	SO - Southern Company (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.46	UTX - United Technologies Corporation (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.47	VFC - V.F. Corporation (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.48	WMT - Wal-Mart Stores Inc. (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.49	WEC - Wisconsin Energy Corp. (NYSE)	Corporate Securities Stock	Spouse	None (or less than \$1,001)	Dividends,	None (or less than \$201)
38.50	MMM - 3M Company (NYSE)	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.51	ABT - Abbott Laboratories	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than

	<u>Asset</u>	<u>Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>\$201)</u> <u>Income</u>
38.52	<u>AMGN</u> - Amgen Inc.	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.53	<u>BLK</u> - BlackRock, Inc.	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
38.54	<u>NKE</u> - NIKE, Inc.	Corporate Securities Stock	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
39	Life Insurance Policy <i>Provider: Northwestern Mutual</i>	Life Insurance Whole	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
40	Life Insurance Policy <i>Provider: Northwestern Mutual</i>	Life Insurance Whole	Spouse	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
41	Belle Meade Associates NY, L.P. <i>Company: Belle Meade Associates NY, L.P. (New York, NY) Description: Investment Partnership Portfolio</i>	Business Entity Limited Partnership (LP)	Self	\$100,001 - \$250,000	Excepted Investment Fund,	None (or less than \$201)
42	IRA 2	Retirement Plans IRA	Self			
42.1	<u>BBT</u> - BB&T Corporation	Corporate Securities Stock	Self	\$100,001 - \$250,000	Dividends,	\$1,001 - \$2,500
42.2	<u>GIS</u> - General Mills, Inc.	Corporate Securities	Self	\$1,001 - \$15,000	Dividends,	None (or less

<u>Asset</u>	<u>Stock Asset Type</u>	<u>Owner</u>	<u>Value</u>	<u>Income Type</u>	<u>than Income \$201)</u>
42.3 <u>GD</u> - General Dynamics Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
42.4 <u>GWW</u> - W.W. Grainger, Inc.	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends,	None (or less than \$201)
42.5 <u>HRS</u> - Harris Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
42.6 <u>ITW</u> - Illinois Tool Works Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
42.7 <u>IBM</u> - International Business Machines Corporation	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends,	None (or less than \$201)
42.8 <u>SJM</u> - The J. M. Smucker Company	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
42.9 <u>JNJ</u> - Johnson & Johnson	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
42.10 <u>K</u> - Kellogg Company	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)

42.11	LOW - Lowe's Companies, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
42.12	MCD - McDonald's Corp.	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends,	None (or less than \$201)
42.13	MDT - Medtronic plc	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
42.14	MSFT - Microsoft Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
42.15	NEE - NextEra Energy, Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
42.16	JWN - Nordstrom Inc.	Corporate Securities Stock	Self	None (or less than \$1,001)	Dividends,	None (or less than \$201)
42.17	NSC - Norfolk Southern Corporation	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
42.18	UBSI - United Bankshares Inc.	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
42.19	Wells Fargo Bank (Charleston, WV)	Bank Deposit	Self	\$1,001 - \$15,000	Interest,	None (or less

Type: Brokerage Sweep Account,
Asset

Asset Type

Owner

Value

Income Type

than
Income
 (\$201)

43	<u>WFC - Wells Fargo & Company</u>	Corporate Securities Stock	Self	\$1,001 - \$15,000	Dividends,	None (or less than \$201)
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Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

Transaction							
#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
1	01/21/2016	Spouse	CB	Chubb Limited	Purchase	\$1,001 - \$15,000	--
2	01/21/2016	Spouse	NKE	NIKE, Inc.	Purchase	\$1,001 - \$15,000	--
3	01/25/2016	Spouse	COP	ConocoPhillips	Sale (Full)	\$1,001 - \$15,000	--
4	03/22/2016	Spouse	AAPL	Apple Inc.	Purchase	\$1,001 - \$15,000	--
5	04/19/2016	Spouse	ABT	Abbott Laboratories	Purchase	\$1,001 - \$15,000	--
6	04/19/2016	Spouse	BDX	Becton, Dickinson and Company	Purchase	\$1,001 - \$15,000	--
7	04/19/2016	Spouse	CB	Chubb Limited	Purchase	\$1,001 - \$15,000	--
8	04/19/2016	Spouse	CLX	The Clorox Company	Purchase	\$1,001 - \$15,000	--
9	04/19/2016	Spouse	GD	General Dynamics Corporation	Purchase	\$1,001 - \$15,000	--
10	04/19/2016	Spouse	ITW	Illinois Tool Works Inc.	Purchase	\$1,001 - \$15,000	--
11	04/19/2016	Spouse	SJM	The J. M. Smucker Company	Purchase	\$1,001 - \$15,000	--

#	Date	Owner	Ticker	Asset Name	Type	Amount	Comment
12	04/19/2016	Spouse	JNJ	Johnson & Johnson	Purchase	\$1,001 - \$15,000	--
13	04/19/2016	Spouse	LOW	Lowe's Companies, Inc.	Purchase	\$1,001 - \$15,000	--
14	04/19/2016	Spouse	MDT	Medtronic plc	Purchase	\$1,001 - \$15,000	--
15	04/19/2016	Spouse	MSFT	Microsoft Corporation	Purchase	\$1,001 - \$15,000	--
16	04/19/2016	Spouse	NEE	NextEra Energy, Inc.	Purchase	\$1,001 - \$15,000	--
17	04/19/2016	Spouse	PAYX	Paychex, Inc.	Purchase	\$1,001 - \$15,000	--
18	04/19/2016	Spouse	MMM	3M Company	Purchase	\$1,001 - \$15,000	--
19	04/19/2016	Spouse	UTX	United Technologies Corporation	Purchase	\$1,001 - \$15,000	--
20	04/19/2016	Spouse	VFC	V.F. Corporation	Purchase	\$1,001 - \$15,000	--
21	06/13/2016	Spouse	F	Ford Motor Co.	Purchase	\$1,001 - \$15,000	--
22	06/24/2016	Spouse	BRK-B	Berkshire Hathaway Inc.	Purchase	\$1,001 - \$15,000	--
23	11/14/2016	Spouse	JWN	Nordstrom, Inc.	Sale (Full)	\$1,001 - \$15,000	--

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comment
1	Spouse	IYE	iShares US Energy	Purchase	01/15/2016	\$15,001 - \$50,000	--
2	Spouse	--	SSga Russell 3000 Fund	Sale (Full)	03/22/2016	\$100,001 - \$250,000	--
3	Spouse	--	SSgA S&P 500 Index	Sale (Partial)	03/22/2016	\$100,001 -	--

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Transaction Amount	Comment
4	Spouse	<u>TSTXX</u>	BlackRock Liquidity Funds T-Fun	Purchase	03/22/2016	\$250,000 \$100,001 - \$250,000	
5	Spouse	--	Large Cap US Equity Fund	Sale (Full)	11/30/2016	\$100,001 - \$250,000	--
6	Spouse	--	SSgA S&P 500 Index	Purchase	11/30/2016	\$100,001 - \$250,000	--

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any [reportable travel](#) or reimbursements for travel? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a liability worth more than \$10,000 at any time? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2011	Joint	Mortgage	0	4.375% (30 years)	\$250,001 - \$500,000	Wells Fargo Des Moines, IA	Refinanced - see #9
2	2011	Joint	Mortgage	0	4.25% (30 years)	\$250,001 - \$500,000	Wells Fargo Des Moines, IA	Refinanced - see #10
3	2014	Joint	Mortgage	0	4.35% (5 Years)	\$100,001 - \$250,000	United Bank Charleston, WV	-
4	2011	Joint	Home Equity Line of Credit	-	3.99- 4.24% (demand)	\$100,001 - \$250,000	Wells Fargo Des Moines, IA	Paid off in 2016
5	2008	Joint	Line of Credit	-	4% (demand)	\$50,001 - \$100,000	United Bank Charleston, WV	-
6	2011	Spouse	Other (Margin loan)	-	8% (margin)	\$100,001 - \$250,000	Wells Fargo Charleston, WV	-

#	Incurred	Debtor	loan) Type	Points	Rate (5 years) (Term)	\$10,001 - \$15,000 Amount	Thrift Savings Plan Creditor St. Louis, MO	Comments
7	2013	Self	Other (TSP loan)	-	2.375% (5 years)	\$10,001 - \$15,000	Thrift Savings Plan Creditor St. Louis, MO	-
8	2014	Spouse	Other (401(k) loan)	-	5.25% (5 years)	\$15,001 - \$50,000	Wells Fargo 401(k) Plan Trustee Charlotte, NC	-
9	2016	Joint	Mortgage	0	3.625% (30 years)	\$250,001 - \$500,000	Wells Fargo Des Moines, IA	-
10	2016	Joint	Mortgage	0	2.875% (30 years)	\$250,001 - \$500,000	Wells Fargo Des Moines, IA	-

Part 8. Positions

Did you hold any outside positions during the reporting period? **Yes**

#	Position Dates	Position Held	Entity	Entity Type	Comments
1	Jan 2014 to present	Other (National Advisory Board)	University of Charleston Charleston, WV	Educational Organization	

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **No**

Part 10. Compensation

If this is your first report, or you are a candidate did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **This is not my first report.**

Attachments & Comments

No attachments added.

No comments added.

