

ANNUAL STATEMENT OF FINANCIAL DISCLOSURE

FORM A FOR CALENDAR YEAR 2006

If typing directly on the electronic template form, please use both upper and lower case letters so you will have sufficient room in each required field for your response.

1.	NAME (Last) Schwartz	(First) Lawrence	(MI) Sc
2.	(a) TITLE OF POSITION Deputy County Executive	(b) DEPARTMENT, AGENCY OR OTH County Executive	OTHER GOVERNMENT ENTITY
	(c) ADDRESS OF PRESENT OFFICE 148 Martine Ave, White Plains, NY 10601	(d) OFFICE TELEPHONE NUMBER 914 (995 - 2909)	
β	(a) MARITAL STATUS Male	IF MARRIED, PLEASE GIVE SPOUSE'S F (Including maiden name where applicable) J. Susan Hessney	OUSE'S FULL NAME pplicable)
	(b) List the full names of all unemancipated children \square NONE	children	
	Carly Schwartz		

<u>o</u> List the names of all parents, siblings, children, grandchildren, step-children and their spouses (including your own spouse) specify for each of such names, the initial date of hire and whether the appointment was made from a civil service list or discretionary (provisional, temporary, managerial, confidential, exempt, non-competitive or labor). who worked for and were compensated by Westchester County during the reporting year. To the extent known please also

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T	Andrews of the state of the sta	Name	Hire Date	Appointment - Civil Service List or Discretionary
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such value or amount shall be reported as being within one of the following categories: Answer each of the following questions completely, with respect to calendar year 2006, unless another period or date is otherwise If additional space is needed, attach additional pages. Whenever a "value" or "amount" is required to be reported herein,

Category A - under \$5,000;

Category B - \$5,000 to under \$20,000;

Category C - \$20,000 to under \$60,000;

Category E - \$60,000 to under \$100,000; and

Category F - \$250,000 or over.

statement, anywhere the term "local agency" shall appear, such term shall mean a local agency of the County of Westchester as A reporting individual shall indicate the Category by letter only. Categories are not subject to public inspection. For purposes of this defined in section 810 of the General Municipal Law

source identified. statement. Whenever "income" is required to be reported herein, the term "income" shall mean the aggregate net income before taxes from the The term "calendar year" shall mean the year ending December 31st preceding the date of filing of the annual

association, partnership, or other organization other than the State of New York or the County of Westchester. If said entity was excluding membership positions, whether compensated or not, held by the reporting individual with any firm, corporation, agency, list the name of any such agency. of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local licensed by any state or local agency, was regulated by any state regulatory agency or local agency or, as a regular and significant part (a) List any office, trusteeship, directorship, partnership, or position of any nature, including honorary positions, if known, and

MONE

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	POSITION
	ORGANIZATION
	STATE OR LOCAL AGENCY

state or local agency, list the name of such agency. significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before any said entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and reporting individual, with any firm, corporation, association, partnership, or other organization other than the State of New York. If known, and excluding membership positions, whether compensated or not, held by the spouse or unemancipated child of the (b) List any office, trusteeship, directorship, partnership, or other position of any nature, including honorary positions, if

	POSITION
	ORGANIZATION
	STATE OR LOCAL AGENCY

agency, or, as a regular and significant part of the business or activity of said entity, did business with or had matters other than reporting individual. If such activity was licensed by any state or local agency, was regulated by any state regulatory agency or local ministerial matters before any state or local agency, list the name of any such agency. (a) List the name, address and description of any occupation, employment, trade, business or profession engaged in by the

NONE

POSITION	ORGANIZATION	STATE OR LOCAL AGENCY

business or profession and the name of any such agency. ministerial matters before any state or local agency, list the name, address and description of such occupation, employment, trade, agency, or, as a regular and significant part of the business or activity of said entity, did business or had matters other than business or profession, which activity was licensed by any state or local agency, was regulated by any state regulatory agency or local If the spouse or unemancipated child of the reporting individual was engaged in any occupation, employment, trade,

ORGANIZATION	

statement is filed with respect to any such guarantees or warranties. Do NOT list any interest in a contract made or executed by a or local agency, and include the name of the entity which holds such interest and the relationship of the reporting individual or such stock of which is owned or controlled by any such person, whether vested or contingent, in any contract made or executed by a state state agency after public notice and pursuant to process for competitive bidding or a process for competitive requests for proposals. provided, however, that such an interest must be listed if there has been an ongoing dispute during the calendar year for which this which final payment has been made and all obligations under the contract except for guarantees and warranties have been performed; individual's spouse or such child to such entity and the interest in such contract. Do NOT list any interest in any such contract on unemancipated child, or partnership of which any such person is a member, or corporation, ten per centum (10%) or more of the List any interest, in EXCESS of \$1,000, excluding bonds and notes, held by the reporting individual, such individual's spouse or

NONE

	NAME (SELF, SPOUSE OR CHILD)
	WHICH HELD INTEREST IN CONTRACT
	RELATIONSHIP TO ENTITY AND INTEREST IN CONTRACT
	CONTRACTING STATE OR LOCAL AGENCY
	VALUE OF CONTRACT*

^{\$100,000} to under \$250,000; or Category F - \$250,000 or over.

political party committee, or as a political party district leader. The term "party" shall have the same meaning as "party" in the Election Law. The term "political organization" means any party or independent body as defined in the Election Law, or any organization that is affiliated with or a subsidiary of a party or independent body. List any position the reporting individual held as an officer of any political party or political organization, as a member of any

	NAME
	POSITION

excluding gifts from a relative. Include the name and address of the donor. The term "gifts" does not include reimbursements, which which this statement is filed by the reporting individual or such individual's spouse or unemancipated child from the same donor, term is defined in Item 10. Indicate the value and nature of each gift. List each source of gifts, excluding campaign contributions, in EXCESS of \$1,000, received during the reporting period for

NONE

*Category A - under \$5,000; Category B		(SELF, SPOUSE OR CHILD)	NAME	
- \$5.000 to under \$20.000; Catego		DONOR	OF	NAME
*Category A - under \$5,000; Category B - \$5,000 to under \$20,000; Category C - \$20,000 to under \$60,000; Category D - \$60,000 to under \$100,000; Category E			ADDRESS	
- \$60,000 to under \$10		GIFT	OF	NATURE
O OOO: Category E -		GIFT*	VALUE OF	CATEGORY OF

expenditures in connection with official duties reimbursed by the political subdivision for which this statement has been filed, in engagements, conferences, or fact finding events. provided by non-governmental sources and for activities related to the reporting individual's official duties such as, speaking EXCESS of \$1,000 from each source. For purposes of this item, the term "reimbursements" shall mean any travel-related expenses Identify and briefly describe the source of any reimbursements for expenditures, excluding campaign expenditures and The term "reimbursements" does NOT include gifts reported under Item 9.

		SOURCE
		DESCRIPTION

12. (a) Describe the terms of, and the parties to, any contract, promise, or other agreement between the reporting individual and any person, firm, or corporation with respect to the employment of such individual after leaving office or position (other than a leave of absence).	*The value of such interest shall reported only if reasonably ascertainable. *Category A - under \$5,000; Category B - \$5,000 to under \$20,000; Category C - \$20,000 to under \$60,000; Category D - \$60,000 to under \$100,000; Category E \$100,000 to under \$250,000; or Category F - \$250,000 or over.		IDENTIFY	retirement plans other than retirement plans of the State of New York or the City of New York, and deferred compensation plans established in accordance with the Internal Revenue Code, in which the reporting individual held a beneficial interest in EXCESS of \$1,000 at any time during the preceding year. Do NOT report interests in a trust, estate or other beneficial interest established by or for, or the estate of, a relative.
reporting individual and sition (other than a leave	under \$100,000; Category E		CATEGORY OF VALUE*	ricial interest, including red compensation plans al interest in EXCESS of nterest established by or

individual in EXCESS of \$1,000 from a prior employer other than Westchester County. (This includes interests in or contributions to Describe the parties to and the terms of any agreement providing for continuation of payments or benefits to the reporting

a pension fund, profit-sharing plan, or life or health insurance; buy-out agreements; severance payments; etc.) NONE

connection with a matrimonial action, alimony and child support payments shall not be listed. or tenants, with the aggregate net income before taxes for each building address or entity. The receipt of maintenance received in address in case of real estate rents and otherwise by the name of the entity and not by the name of the individual customers, clients other property. Income from a business or profession and real estate rents shall be reported with the source identified by the building bond interest, dividends, income derived from a trust, real estate rents, and recognized gains from the sale or exchange of real or salary for government employment, income from compensated employment, whether public or private, directorships and other fiduciary positions, contractual arrangements, teaching income, partnerships, honorariums, lecture fees, consultant fees, bank and individual's spouse for the taxable year last occurring prior to the date of filing. Nature of income includes, but is not limited to, List below the nature and amount of any income in EXCESS of \$1,000 from each source for the reporting individual and such

NAME			CATEGORY OF
(SELF/SPOUSE)	SOURCE	NATURE	AMOUNT*
Self	Westchester County	Employment	Ħ
Spouse	McGraw-Hill	Employment	
Self/Spouse	HSBC	Savings and Checking Accounts	
Self/Spouse	Merrill Lynch	Money Market	

^{*}Category A - under \$5,000; Category B - \$5,000 to under \$20,000; Category C - \$20,000 to under \$60,000; Category D - \$60,000 to under \$100,000; Category E - \$100,000 to under \$250,000; or Category F - \$250,000 or over.

14. individual clients. source the name of the firm, corporation, partnership or association through which the income was derived, but shall not identify hereinabove. Deferred income derived from the practice of a profession shall be listed in the aggregate and shall identify as the the close of the calendar year for which this disclosure statement is filed, other than deferred compensation reported in Item 11 List the sources of any deferred income in EXCESS of \$1,000 from each source to be paid to the reporting individual following

NONE SOURCE CATEGORY OF AMOUNT*

real property, by the reporting individual in EXCESS of \$1,000, which would otherwise be required to be reported herein and is not or which this statement is filed for less than fair consideration of an interest in a trust, estate or other beneficial interest, securities or has not been so reported. List each assignment of income in EXCESS of \$1,000, and each transfer other than to a relative during the reporting period for

NONE	H		
	ITEM ASSIGNED OR TRANSFERRED		
	ASSIGNED OR TRANSFERRED TO		
	CATEGORY OF VALUE*		

^{*}Category A - under \$5,000; Category B - \$5,000 to under \$20,000; Category C - \$20,000 to under \$60,000; Category D - \$60,000 to under \$100,000; Category E - \$100,000 to under \$250,000; or Category F - \$250,000 or over.

a trade or business of a reporting individual or a reporting individual's spouse. security is an interest in a general partnership that was listed in Item 8(a) or if the security is corporate stock, NOT publicly traded, in securities. The market value for such securities shall be reported only if reasonably ascertainable and shall not be reported if the certificates of deposit (CDs) and such other evidences of indebtedness and certificates of interest as are usually referred to as bonds, mortgages, notes, obligations, warrants and stocks of any class, investment interests in limited or general partnerships and controlled by the reporting individual or such individual's spouse. For the purpose of this item the term "securities" shall mean stock is public traded or more than ten percent (10%) of the stock of a corporation in which the stock is NOT publicly traded. Also the reporting person or the reporting person's spouse holds more than five percent (5%) of the stock of a corporation in which the list securities owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or individual or the reporting individual's spouse has no beneficial interest shall not be listed. Indicate percentage of ownership only if individual. Securities of which the reporting individual or the reporting individual's spouse is the owner of record but in which such because the trustee is under an obligation or has been instructed in writing not to disclose the contents of the trust to the reporting for his or her benefit, in which event such securities shall be listed unless they are not ascertainable by the reporting individual has knowledge thereof, except where the reporting individual or the reporting individual's spouse has transferred assets to such trust securities exists through a beneficial interest in a trust, the securities held in such trust shall be listed only if the reporting individual issuing entity exclusive of securities held by the reporting individual issued by a professional corporation. Whenever an interest in issuing entity in EXCESS of \$1,000 at the close of the taxable year last occurring prior to the date of filing, including the name of the 16. List below the type and market value of securities held by the reporting individual or such individual's spouse from each

NO IN E

SELF/SPOUSE	ISSUING ENTITY	TYPE OF SECURITY	PERCENTAGE OF CORPORATE STOCK OWNED OR CONTROLLED	CATEGORY OF MARKET VALUE*
Self/Spouse	Merrill Lynch	Aeroflex Inc		
HIT	ии	Bristol-Myers		
H H	nn	Burlington Res		
=	111	Chiron Corp		

Bategory of market Value Type of Security 20 to ty Set / Sporse Cisco Menill Lynch Charroup Commscope Comverse Tech Corning Cybercare Emerson Fed Ex 11 Flextonics B // General Electric .. 11 Home Dypot 11 Tatel B // Whosen & Whosen JP Morgan Laborating CP Me Donalds 11 Medimmone 11 Menk A 11 10 11 Micro so ft 11 11 11 wellus 11 11 1/ 4 /1 11 Um Creek Jover- One 11 11 C/ hortra Gamble : 11

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	NAME OF DEBTOR
	TYPE OF OBLIGATION, DATE DUE, AND NATURE OF COLLATERAL, IF ANY
	CATEGORY OF AMOUNT*

such individual's spouse or by any proprietorship, partnership or corporation in which the reporting individual or such individual's personally owned motor vehicle, household furniture or appliances shall be excluded. finance educational costs, the cost of home purchase or improvements for a primary or secondary residence, or purchase of a thereon is in excess of \$5,000 at the time of filing. Any loan issued in the ordinary course of business by a financial institution to matrimonial action, alimony or child support payments. Revolving charge account information shall only be set forth if liability individual or such individual's spouse. Include the name of the creditor and any collateral pledged by such individual to secure spouse has an interest, when incurred or made in the ordinary course of the trade, business or professional practice of the reporting statement, other than liabilities to a relative. Do NOT list liabilities incurred by, or guarantees made by, the reporting individual or payment of any such liability. A reporting individual shall NOT list any obligation to pay maintenance in connection with a guaranteed by any third person, list the liability and name the guarantor. List below all liabilities of the reporting individual and such individual's spouse, in EXCESS of \$5,000 as of the date of this If any such reportable liability has been

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		CREDITOR OR GUARANTOR	NAME OF
		IF ANY	TYPE OF LIABILITY AND COLLATERAL,
		OF AMOUNT*	CATEGORY

^{*}Category A - under \$5,000; Category B - \$5,000 to under \$20,000; Category C - \$20,000 to under \$60,000; Category D - \$60,000 to under \$100,000; Category E \$100,000 to under \$250,000; or Category F - \$250,000 or over.

or illegal conduct or behavior will be drawn merely from compliance with these requirements. The requirements of law relating to the reporting of financial interests are in the public interest and no adverse inference of unethical

available in its entirety for public inspection and reproduction, but any information within the statement deemed exempt by New rights is separately punishable in accordance with the law. Please be advised that, pursuant to New York State law, this statement is County. Improper use of the information contained in this statement by any third person or entity in violation of privacy or other York State law or the Laws of Westchester County shall be redacted accordingly. The reporting of information in this statement is required by New York State General Municipal Law and the Laws of Westchester

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(Signature of Reporting Individual)

Date (Month/Day/Year)

REMINDERS:

- Please review the Statement to ensure all questions have been answered, including both parts (a) and (b) for Questions 3, 4, 5,8 and 12.
- Please remember to sign and date the Statement.
- Please keep a copy of the completed Statement for your records.
- Please be aware that any sheets attached to the Statement are also subject to public inspection